

1 UNITED STATES COURT OF APPEALS
2 FOR THE SECOND CIRCUIT

3 August Term, 2004

4 (Argued September 21, 2004

Decided March 25, 2005)

5
6 Docket No. 03-9329
7 -----

8 ROBERT MURPHY and MARY MURPHY,

9
10 _____
11 Plaintiffs-Appellees,

12 v.

13 NEW MILFORD ZONING COMMISSION, GEORGE
14 DORING, C. BROOKS TEMPLE, CHARLES RAYMOND,
15 LAWRENCE GREENSPAN, ELEANOR FLORIO,
16 PATRICIA McRAE, MONA TITO and KATHY
17 CASTAGNETTA,

18 Defendants-Appellants,

19 UNITED STATES OF AMERICA,

20
21 Intervenor-Defendant,

22 BECKET FUND FOR RELIGIOUS LIBERTY,

23
24 Amicus Curiae.
25 -----

26 B e f o r e: FEINBERG, MESKILL and B.D. PARKER, Circuit Judges.
27

28 Appeal from the judgment of the United States District
29 Court for the District of Connecticut, Fitzsimmons, M.J.,
30 permanently restraining enforcement of zoning cease and desist
31 order.

32 Vacated and remanded with instructions to dismiss
33 without prejudice.

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9 York Landmarks Conservancy,
10 The Greenwich Village Society
11 for Historic Preservation and
12 Brooklyn Heights Association.
13

14 MESKILL, Circuit Judge:

15 This appeal arises from an action commenced in the
16 United States District Court for the District of Connecticut,
17 Fitzsimmons, M.J., by Robert and Mary Murphy against the New
18 Milford Zoning Commission, its individual members and the New
19 Milford Zoning Enforcement Officer (collectively, "New Milford").
20 Following complaints of large, weekly gatherings at the Murphys'
21 home and an investigation on the matter, New Milford informed the
22 Murphys that under zoning regulations they were prohibited from
23 hosting regularly scheduled meetings exceeding twenty-five non-
24 family members. Immediately, the Murphys sued New Milford
25 alleging various constitutional and statutory violations.

26 New Milford asks us to consider the propriety of July
27 2001 and August 2002 orders rejecting its argument that the
28 Murphys' claims were not ripe for judicial review. In the event
29 that we hold otherwise, New Milford has asked us to review a
30 September 2003 decision permanently enjoining enforcement of a

1 cease and desist order.

2 We agree with New Milford that the Murphys prematurely
3 commenced this suit, such that their claims were never ripe for
4 judicial intervention. We therefore vacate the permanent
5 injunction and remand with instructions to dismiss the complaint
6 without prejudice.

7 I.

8 The record before us reveals the following. The
9 Murphys own a single-family home located on a cul-de-sac lined
10 with six other single-family homes. The Murphys have been
11 hosting Sunday afternoon prayer group meetings since 1994. They
12 assert that their Christian beliefs require them to hold these
13 meetings, which provide opportunity for worship and communal
14 prayer not present at their church. The Murphys also claim that
15 because of Robert Murphy's severe illness their home is the only
16 acceptable location to host such meetings. The number of people
17 who attend the meetings has varied, ranging from as few as ten to
18 perhaps as many as sixty participants.

19 In August 2000, New Milford's zoning office and the New
20 Milford Zoning Commission received complaints from the Murphys'
21 neighbors regarding the prayer meetings. Neighbors complained of
22 large numbers of cars traveling to and from the Murphys' home, of
23 these cars parking in the street and causing access problems and
24 of excessive noise when meeting attendees departed. In response,

1 the Zoning Commission directed the Zoning Enforcement Officer
2 (ZEO) to investigate. She visited the Murphys' property on three
3 Sundays and found that from thirteen to twenty cars lined the
4 Murphys' driveway, their rear yard and the cul-de-sac. The ZEO
5 presented her findings to the Zoning Commission, which in turn
6 issued an opinion concluding that the weekly, sizable prayer
7 meetings were not a customary accessory use in a single-family
8 residential area. Based on this opinion, on November 29, 2000,
9 the ZEO sent the Murphys an informal letter advising them that
10 their meetings violated zoning regulations. Two days later the
11 Murphys sued New Milford alleging numerous constitutional and
12 statutory claims.

13 Thereafter, on December 19, 2000, the ZEO issued a
14 formal cease and desist order charging the Murphys with violating
15 New Milford's single-family zoning regulations. See New Milford
16 Single Family District Regs. Ch. 25. The order requested that
17 the Murphys no longer use their home "as a meeting place by a
18 diverse group of people (25 to 40), who are not 'family' . . . ,
19 on a regularly scheduled basis." By its very terms, the cease
20 and desist order did not apply to all meetings at the Murphys'
21 residence, but only those that were regularly scheduled and
22 included twenty-five or more non-family participants. Critical
23 to our decision today, the Murphys did not appeal the cease and
24 desist order to the Zoning Board of Appeals, where they could

1 have sought a variance from the zoning regulations.¹ See Conn.
2 Gen. Stat. §§ 8-6(a)(3), 8-6a, 8-7.

3 Instead, the Murphys proceeded with their suit in
4 federal court. They amended their complaint to assert that the
5 cease and desist order violated, among other things, their First
6 Amendment rights to assemble peaceably and to exercise their
7 religion freely, the Religious Land Use and Institutionalized
8 Persons Act (RLUIPA), 42 U.S.C. § 2000cc,² and the Connecticut
9 Act Concerning Religious Freedom (CACRF), Conn. Gen. Stat. § 52-
10 571b -- a state analogue to RLUIPA.³

¹ "A variance is authority granted to [an] owner to use his property in a manner forbidden by the zoning regulations." Reid v. Zoning Bd. of Appeals, 235 Conn. 850, 857, 670 A.2d 1271, 1275 (1996) (internal quotation marks omitted). To obtain such relief in Connecticut two conditions must be met: "(1) the variance must be shown not to affect substantially the comprehensive zoning plan, and (2) adherence to the strict letter of the zoning ordinance must be shown to cause unusual hardship." Bloom v. Zoning Bd. of Appeals, 233 Conn. 198, 207, 658 A.2d 559, 564 (1995) (internal quotation marks omitted).

² RLUIPA prohibits a governmental entity from applying a land use regulation "in a manner that imposes a substantial burden on the religious exercise of a person . . . unless the government demonstrates that imposition of the burden . . . is in furtherance of a compelling government interest; and . . . [the burden imposed] is the least restrictive means of furthering that compelling governmental interest." 42 U.S.C. § 2000cc(a)(1).

³ The Murphys also initially asserted that the cease and desist order violated their First Amendment rights to free speech and to privacy, the Establishment Clause of the First Amendment, the Takings Clause of the Fifth Amendment, the Due Process and Equal Protection Clauses of the Fourteenth Amendment and provisions of the Connecticut State Constitution. These claims, which either have been abandoned or dismissed in the district court, are not raised on appeal and do not concern us here.

1 The district court granted the Murphys a temporary
2 restraining order and then a preliminary injunction enjoining
3 enforcement of the cease and desist order.⁴ Ruling on the
4 preliminary injunction request, the district court held that the
5 RLUIPA claim was ripe for judicial review. The court first
6 reasoned that RLUIPA required only institutions such as a church,
7 temple or synagogue, and not individuals such as the Murphys, to
8 appeal a local land use decision to a zoning board of appeals or
9 to apply for a variance before initiating a federal suit. See
10 Murphy v. Zoning Comm'n, 148 F.Supp.2d 173, 184-85 (D. Conn.
11 2001). The court next characterized the Murphys' claims as
12 "primarily legal rather than factual" and concluded that "the
13 parties have made a sufficient factual showing" to permit the
14 court to decide the preliminary injunction motion. Id. at 186.
15 Finally, the district court concluded that the Murphys suffered
16 immediate and substantial hardship because the only means of
17 complying with the cease and desist order was to terminate the
18 prayer meetings. See id. at 186-87. In granting the preliminary
19 injunction the district court held only that the RLUIPA claim was
20 ripe; it explicitly reserved decision on the ripeness of the
21 remaining First Amendment and state statutory claims. See id. at

⁴ Following the grant of the temporary restraining order, the parties consented to proceed before a magistrate judge with the right to appeal directly to this Court. See 28 U.S.C. § 636(c).

1 183 n.5, 186 n.12.

2 New Milford then moved to dismiss the Murphys'
3 complaint based in part on the argument that the remaining claims
4 were not ripe. The district court disagreed; tracking the
5 previous ruling on the RLUIPA claim, it allowed these claims to
6 proceed. See Murphy v. Zoning Comm'n, 223 F.Supp.2d 377, 384-87
7 (D. Conn. 2002). The court held that the Murphys were not
8 required to appeal the cease and desist order to the New Milford
9 Zoning Board of Appeals or to submit a variance application
10 before litigating the claims because both steps would be merely
11 remedial, "rather than part of the decision-making process." Id.
12 at 385.

13 Ultimately, on September 30, 2003, the district court
14 granted the Murphys a permanent injunction. It held that the
15 cease and desist order violated RLUIPA, the CACRF and the
16 Murphys' First Amendment rights to freely exercise their religion
17 and to peaceably assemble. See Murphy v. Zoning Comm'n, 289
18 F.Supp.2d 87, 102-09, 114-15, 126 (D. Conn. 2003). The district
19 court also denied New Milford's affirmative defenses that: (1)
20 both RLUIPA and the CACRF violated the Establishment Clause of
21 the First Amendment and (2) Congress exceeded its powers under
22 the Commerce Clause and section five of the Fourteenth Amendment
23 by enacting RLUIPA. See id. at 117-26.

24 New Milford appealed, asserting that the Murphys'

1 claims were never ripe for federal judicial intervention and
2 that, assuming otherwise, the district court improperly ruled on
3 the merits.

4 II.

5 As we are obliged to do, we first consider the ripeness
6 issue. See Vandor, Inc. v. Militello, 301 F.3d 37, 38 (2d Cir.
7 2002) (per curiam). Ripeness is a jurisdictional inquiry. See
8 id. As such, we must presume that we cannot entertain the
9 Murphys' claims "unless the contrary appears affirmatively from
10 the record." Renne v. Geary, 501 U.S. 312, 316 (1991) (internal
11 quotation marks omitted). And, to establish jurisdiction in this
12 zoning dispute the Murphys have the "high burden" of proving that
13 we can look to a final, definitive position from a local
14 authority to assess precisely how they can use their property.
15 Hoehne v. County of San Benito, 870 F.2d 529, 533 (9th Cir.
16 1989); see also Acierno v. Mitchell, 6 F.3d 970, 975 (3d Cir.
17 1993). Mindful of these benchmarks, we undertake de novo review
18 of the district court's ripeness rulings. See Santini v.
19 Connecticut Hazardous Waste Mgmt. Serv., 342 F.3d 118, 124 (2d
20 Cir. 2003).

21 III.

22 Ripeness is a doctrine rooted in both Article III's
23 case or controversy requirement and prudential limitations on the
24 exercise of judicial authority. See Suitum v. Tahoe Reg'l

1 Planning Agency, 520 U.S. 725, 733 n.7 (1997); see also Reg'l
2 Rail Reorganization Act Cases, 419 U.S. 102, 138 (1974). At its
3 heart is whether we would benefit from deferring initial review
4 until the claims we are called on to consider have arisen in a
5 more concrete and final form. As the Supreme Court has
6 explained, the ripeness doctrine's "basic rationale is to prevent
7 the courts, through avoidance of premature adjudication, from
8 entangling themselves in abstract disagreements." Abbott Labs.
9 v. Gardner, 387 U.S. 136, 148 (1967), overruled on other grounds,
10 Califano v. Sanders, 430 U.S. 99 (1977). Ripeness, therefore, is
11 "peculiarly a question of timing" as cases may later become ready
12 for adjudication even if deemed premature on initial
13 presentation. Reg'l Rail, 419 U.S. at 140.

14 Determining whether a case is ripe generally requires
15 us to "evaluate both the fitness of the issues for judicial
16 decision and the hardship to the parties of withholding court
17 consideration." Abbott Labs., 387 U.S. at 149. This two-prong
18 inquiry in some ways tracks both the doctrine's Article III and
19 prudential underpinnings. The "fitness of the issues for
20 judicial decision" prong recognizes the restraints Article III
21 places on federal courts. It requires a weighing of the
22 sensitivity of the issues presented and whether there exists a
23 need for further factual development. See, e.g., Thomas v. Union
24 Carbide Agric. Prods. Co., 473 U.S. 568, 581 (1985). Meanwhile,

1 the "hardship to the parties" prong clearly injects prudential
2 considerations into the mix, requiring us to gauge the risk and
3 severity of injury to a party that will result if the exercise of
4 jurisdiction is declined. See Abbott Labs., 387 U.S. at 149.

5 Building on the foregoing, the Supreme Court has
6 developed specific ripeness requirements applicable to land use
7 disputes. In Williamson County Regional Planning Commission v.
8 Hamilton Bank, 473 U.S. 172 (1985), the Court was asked to
9 consider whether the application of zoning laws amounted to a
10 Fifth Amendment taking. Although the merits were reached below,
11 the Court held that it lacked jurisdiction to consider the claim
12 for two independent reasons. See Seguin v. City of Sterling
13 Heights, 968 F.2d 584, 587 (6th Cir. 1992) (explaining that the
14 Williamson County ripeness test consists of "two distinct"
15 requirements); Southern Pac. Transp. Co. v. Los Angeles, 922 F.2d
16 498, 502 (9th Cir. 1990) (stating that ripeness under Williamson
17 County "involves two independent prerequisites").

18 First -- paralleling the initial prong of Abbott
19 Laboratories -- the Court held that before commencing its takings
20 suit the developer was required to obtain a final, definitive
21 position as to how it could use the property from the entity
22 charged with implementing the zoning regulations. See Williamson
23 County, 473 U.S. at 186. Since labeled "prong-one ripeness,"
24 see, e.g., DLX, Inc. v. Kentucky, 381 F.3d 511, 518 (6th Cir.

1 2004), this jurisdictional prerequisite conditions federal review
2 on a property owner submitting at least one meaningful
3 application for a variance. See id. at 190 (“[I]n the face of
4 [the developer’s] refusal to follow the procedures for requesting
5 a variance” the developer had “not yet obtained a final decision
6 regarding how it [would] be allowed to develop [the] property.”);
7 see also MacDonald, Sommer & Frates v. Yolo County, 477 U.S.
8 340, 352 n.8 (1986) (holding takings claim unripe and stating
9 that a “meaningful [variance] application has not yet been
10 made”). Because the Williamson County developer failed to pursue
11 available variance relief the Court concluded that it could not
12 thoroughly consider the merits. See Williamson County, 473 U.S.
13 at 191 (holding that because of the developer’s failure to obtain
14 a final decision, the Court cannot evaluate how the regulations
15 at issue would be applied to the particular land in question).

16 Four considerations, all of which motivate our decision
17 today, undergird prong-one ripeness. First, as just explained,
18 the Williamson County Court reasoned that requiring a claimant to
19 obtain a final decision from a local land use authority aids in
20 the development of a full record. See id. at 187. Second, and
21 relatedly, only if a property owner has exhausted the variance
22 process will a court know precisely how a regulation will be
23 applied to a particular parcel. See id. at 190. Third, a
24 variance might provide the relief the property owner seeks

1 without requiring judicial entanglement in constitutional
2 disputes. Thus, requiring a meaningful variance application as a
3 prerequisite to federal litigation enforces the long-standing
4 principle that disputes should be decided on non-constitutional
5 grounds whenever possible. See id. at 187; see also Ashwander v.
6 Tennessee Valley Auth., 297 U.S. 288, 346 (1936) (Brandeis, J.,
7 concurring). Finally, since Williamson County, courts have
8 recognized that federalism principles also buttress the finality
9 requirement. Requiring a property owner to obtain a final,
10 definitive position from zoning authorities evinces the
11 judiciary's appreciation that land use disputes are uniquely
12 matters of local concern more aptly suited for local resolution.
13 See Taylor Inv., Ltd. v. Upper Darby Township, 983 F.2d 1285,
14 1291 (3d Cir. 1993) (holding claims in zoning dispute were not
15 ripe and recognizing that local bodies "are better able than
16 federal courts" to address such disputes) (internal quotation
17 marks omitted); Spence v. Zimmerman, 873 F.2d 256, 262 (11th Cir.
18 1989) ("We stress that federal courts do not sit as zoning boards
19 of review and should be most circumspect in determining that
20 constitutional rights are violated in quarrels over zoning
21 decisions."); Hoehne, 870 F.2d at 532 (acknowledging that
22 Williamson County and its progeny "guard against the federal
23 courts becoming the Grand Mufti of local zoning boards");
24 Littlefield v. City of Afton, 785 F.2d 596, 607 (8th Cir. 1986)

1 ("We are concerned that federal courts not sit as zoning boards
2 of appeals."), overruled on other grounds, Chesterfield Dev.
3 Corp. v. City of Chesterfield, 963 F.2d 1102, 1104 n.2 (8th Cir.
4 1992).

5 Despite these strong policy considerations supporting
6 prong-one ripeness, the finality requirement is not mechanically
7 applied. A property owner, for example, will be excused from
8 obtaining a final decision if pursuing an appeal to a zoning
9 board of appeals or seeking a variance would be futile. That is,
10 a property owner need not pursue such applications when a zoning
11 agency lacks discretion to grant variances or has dug in its
12 heels and made clear that all such applications will be denied.
13 See Southview Assocs., Ltd. v. Bongartz, 980 F.2d 84, 98-99 (2d
14 Cir. 1992) (discussing futility exception); see also Suitum, 520
15 U.S. at 739 (holding that land use board had no discretion over
16 how landowner could use her property such that "no occasion
17 exists for applying Williamson County's [finality] requirement");
18 Lucas v. South Carolina Coastal Council, 505 U.S. 1003, 1012 n.3
19 (1992) (stating that an application for a variance is not
20 required when it would be "pointless"). And, a property owner
21 will not be required to litigate a dispute before a zoning board
22 of appeals if it sits purely as a remedial body. See Williamson
23 County, 473 U.S. at 193 (holding that appeal to zoning board of
24 appeals is not required when the board is "empowered, at most, to

1 review [a] rejection, not to participate in the . . .
2 decisionmaking").

3 In sum, absent a futility or remedial finding, prong-
4 one ripeness reflects the judicial insistence that a federal
5 court know precisely how a property owner may use his land before
6 attempts are made to adjudicate the constitutionality of
7 regulations purporting to limit such use.

8 Under the second prong of Williamson County a property
9 owner must seek compensation for an alleged taking before
10 proceeding to federal court. See id. at 194. The "prong-two
11 ripeness" test is germane to takings challenges as it "stems from
12 the Fifth Amendment's proviso that only takings without 'just
13 compensation' infringe that Amendment." Suitum, 520 U.S. at 734.
14 It follows that because we are not confronted with such a claim,
15 this aspect of Williamson County is not implicated. See, e.g.,
16 Southview Assocs., 980 F.2d at 97 (holding that developer's
17 substantive due process claim premised on arbitrary and
18 capricious government conduct was subject only to prong-one
19 ripeness).

20 We recognize that the Supreme Court developed the
21 Williamson County ripeness test in the context of a regulatory
22 takings challenge. Nevertheless, it has not been so strictly
23 confined. See, e.g., Taylor Inv., 983 F.2d at 1292 (applying
24 Williamson County finality rule to substantive due process,

1 procedural due process and equal protection challenges to a
2 zoning decision); Del Monte Dunes at Monterey, Ltd. v. City of
3 Monterey, 920 F.2d 1496, 1507 (9th Cir. 1990) (same); Unity
4 Ventures v. County of Lake, 841 F.2d 770, 774-76 (7th Cir. 1988)
5 (same). But see Nasierowski Bros. Inv. Co. v. City of Sterling
6 Heights, 949 F.2d 890, 894 (6th Cir. 1991) (holding that
7 procedural due process claim is cognizable in federal court even
8 absent final decision from zoning authorities).

9 Following the view of these other circuits, we have
10 applied prong-one ripeness to land use disputes implicating more
11 than just Fifth Amendment takings claims. For example, we
12 previously applied the test to substantive due process claims
13 stemming from a zoning decision. See Southview Assocs., 980 F.2d
14 at 97. And, we recently recognized that in certain circumstances
15 a First Amendment claim emanating from a land use dispute may be
16 subject to the Williamson County prong-one ripeness test.⁵ See
17 Dougherty v. Town of North Hempstead Bd. of Zoning Appeals, 282
18 F.3d 83, 90 (2d Cir. 2002); see also Kittay v. Giuliani, 112

⁵ However, we elected not to apply the Williamson County ripeness test to the First Amendment claim then before us because: (1) we were already presented with an adequate factual record such that the property owner could do "nothing to further define his injury" and (2) based "[o]n the facts" the property owner had properly alleged "an immediate injury." Dougherty v. Town of North Hempstead Bd. of Zoning Appeals, 282 F.3d 83, 90 (2d Cir. 2002) ("Therefore, Dougherty's First Amendment retaliation claim should not be subject to the application of the Williamson ripeness test."). As discussed below, the situation we confront is quite different.

1 F.Supp.2d 342, 348-49 & n.5 (S.D.N.Y. 2000) (Parker, J.).

2 The Williamson County ripeness test is a fact-sensitive
3 inquiry that may, when circumstances warrant, be applicable to
4 various types of land use challenges. See DLX, Inc., 381 F.3d at
5 525 (noting that prong-one ripeness is a fact-dependent
6 determination); Hoehne, 870 F.2d at 533 (“[T]o prove that a final
7 decision was indeed reached, the facts of the case must be clear,
8 complete, and unambiguous.”). Against this backdrop, we now
9 consider whether Williamson County finality applies to the
10 Murphys’ claims and if so, whether the claims are ripe under that
11 test.

12 IV.

13 The Murphys assert violations of their First Amendment
14 rights to assemble peaceably and to exercise their religion
15 freely. In addition to these constitutional claims, the Murphys
16 allege that the restrictions placed on their prayer meetings
17 violated RLUIPA and its Connecticut state analogue, the CACRF.
18 See 42 U.S.C. § 2000cc-2(b); Conn. Gen. Stat. § 52-571b. In
19 enacting RLUIPA, Congress endeavored to codify existing Free
20 Exercise jurisprudence.⁶ See Midrash Sephardi, Inc. v. Town of
21 Surfside, 366 F.3d 1214, 1239 (11th Cir. 2004); Civil Liberties
22 for Urban Believers v. City of Chicago, 342 F.3d 752, 760-61 (7th

⁶ Our decision today does not require us to determine whether Congress in fact succeeded in this endeavor.

1 Cir. 2003). Relatedly, we do not believe it necessary to
2 distinguish the RLUIPA claim from the First Amendment Free
3 Exercise claim when it comes to our ripeness inquiry. Cf.
4 Westchester Day School v. Vill. of Mamaroneck, 386 F.3d 183, 191
5 (2d Cir. 2004) (remanding RLUIPA claim when it was unclear from
6 record evidence whether local zoning board would have approved a
7 modified special use permit). The legislative history underlying
8 RLUIPA supports this choice. See 146 Cong. Rec. S7774-01, S7776
9 (daily ed. July 27, 2000) (Joint Statement of Sen. Orrin Hatch
10 and Sen. Edward Kennedy) (stating that RLUIPA was not intended
11 to "relieve religious institutions from applying for variances,
12 special permits or exceptions, where available without
13 discrimination or unfair delay"). But, we remain mindful that
14 Williamson County should be cautiously applied to these claims.
15 See Dougherty, 282 F.3d at 90 (noting that for First Amendment
16 claims, "the ripeness doctrine is somewhat relaxed"). We
17 therefore undertake a preliminary inquiry to determine whether
18 the Murphys must show that they have obtained a final, definitive
19 decision from the entity charged with implementing the zoning
20 regulations.

21 Following the guideposts outlined in Dougherty we ask:

22 (1) whether the Murphys experienced an immediate injury as a
23 result of New Milford's actions and (2) whether requiring the
24 Murphys to pursue additional administrative remedies would

1 further define their alleged injuries. See id. The answers to
2 these questions lead us to conclude that the circumstances of
3 this case compel application of Williamson County to each of the
4 Murphys' claims. We discuss each inquiry in turn.

5 First, despite the Murphys' argument to the contrary,
6 we hold that the cease and desist order did not inflict an
7 immediate injury. To support their contention on this point the
8 Murphys assert that New Milford could have enforced the cease and
9 desist order through civil fines and imprisonment, as provided
10 for in Connecticut General Statutes section 8-12. This statute,
11 however, does not provide New Milford with any arresting or
12 fining power. Rather, section 8-12 merely provides a procedure
13 whereby New Milford would be required to bring an action in
14 Connecticut Superior Court to enforce the order. See Gelinis v.
15 Town of West Hartford, 225 Conn. 575, 593, 626 A.2d 259, 269
16 (1993). Under the statute the award of fines and imprisonment
17 can occur only after a legal proceeding is filed (a step never
18 taken here), zoning violations are proven and the trial court --
19 in exercising its discretion -- believes that penalties are
20 necessary to deter future violations. See Bauer v. Waste Mgmt.
21 of Conn., 239 Conn. 515, 532, 686 A.2d 481, 489 (1996); Town of
22 Monroe v. Renz, 46 Conn.App. 5, 14, 698 A.2d 328, 332 (App. Ct.
23 1997). New Milford, then, plainly lacked the enforcement
24 authority on which the claim of immediate hardship is premised.

1 Claims of immediate injury are further suspect given
2 that an appeal of the cease and desist order to the New Milford
3 Zoning Board of Appeals automatically would have stayed its
4 enforcement. Section 8-7 of the Connecticut General Statutes
5 provides that, "[a]n appeal from any . . . order [as long as the
6 order does not prohibit construction] . . . shall stay all
7 proceedings in the action appealed from." Conn. Gen. Stat. § 8-
8 7. This provision further belies the contention that the
9 Murphys' only recourse following the cease and desist order was
10 to suspend their prayer meetings, rendering their injury
11 immediate.

12 Second, we ask whether the Murphys' alleged injury is
13 clearly defined on the existing record. Unlike Dougherty, the
14 resolution of the constitutional and statutory claims we are
15 asked to consider here hinge on factual circumstances not yet
16 fully developed. See MacDonald, 477 U.S. at 359 (White, J.,
17 dissenting) (recognizing that land use challenges are "closely
18 tied to the facts of a particular case and that there is often an
19 ongoing process by which the relevant regulatory decisions are
20 made"). For example, the record before us does not reveal
21 whether the cease and desist order evinces discriminatory
22 enforcement of the zoning regulations. That is, the record fails
23 to show whether New Milford has declined to enforce the zoning
24 regulations to limit attendance at regularly scheduled secular

1 events or whether variances have been sought and granted for such
2 gatherings. Also murky is precisely how and why New Milford
3 arrived at twenty-five as the number of non-family guests
4 permitted to attend the prayer meetings.

5 In addition to these lingering uncertainties, the
6 parties have yet even to agree on the typically ministerial
7 matter of informing us who the proper defendants are: New Milford
8 asserts that only the ZEO's actions require review, whereas the
9 Murphys claim that both the ZEO's and the Zoning Commission's
10 activities are in play. More importantly, the parties continue
11 to disagree as to what the challenged issue is: New Milford
12 posits that it is the zoning regulations, while the Murphys claim
13 it is the cease and desist order. An appeal to the Zoning Board
14 of Appeals surely would have illuminated at least some, if not
15 all, of these vexing issues. See R & R Pool & Patio v. Zoning
16 Bd. of Appeals, 257 Conn. 456, 468, 778 A.2d 61, 69-70 (2001).

17 Under Connecticut law, a zoning board of appeals is
18 required to hold a hearing "to find the facts and to apply the
19 pertinent zoning regulations to those facts." Caserta v. Zoning
20 Bd. of Appeals, 226 Conn. 80, 90, 626 A.2d 744, 748 (1993). The
21 purpose of such a hearing is to "afford an opportunity to
22 interested parties to make known their views and to enable the
23 board to be guided by them." Willimantic Car Wash v. Zoning Bd.
24 of Appeals, 247 Conn. 732, 740, 724 A.2d 1108, 1111 (1999)

1 (quoting Kleinsmith v. Planning & Zoning Comm'n, 157 Conn. 303,
2 311, 254 A.2d 486, 490 (1968)). This appellate procedure
3 therefore allows for "a record [to] be made." Dietzel v.
4 Planning Comm'n, 60 Conn.App. 153, 162, 758 A.2d 906, 912 (App.
5 Ct. 2000). Thus, before the Zoning Board of Appeals the Murphys
6 would have had the opportunity to challenge and develop a record
7 on the standards (or lack thereof) underlying New Milford's
8 determination that no more than twenty-five non-family members
9 could attend the prayer meetings. In addition, the availability
10 of alternative restrictions -- such as limiting the number of
11 vehicles, not the number of attendees -- may have been explored.
12 The exploration of these issues has particular bearing on a Free
13 Exercise and RLUIPA individualized assessments analysis, in which
14 we would seek to determine whether New Milford's actions serve a
15 compelling government interest through the least restrictive
16 means possible. See generally Church of the Lukumi Babalu Aye v.
17 City of Hialeah, 508 U.S. 520, 531-32 (1993); Sherbert v. Verner,
18 374 U.S. 398, 406-09 (1963). Bypassing the Zoning Board of
19 Appeals and its hearing processes, which were statutorily
20 designed for exploration and development of these sorts of
21 issues, leaves the Murphys' alleged injuries ill-defined.

22 Based on the foregoing we conclude that it is
23 appropriate to apply Williamson County's prong-one finality
24 requirement to each of the Murphys' claims. Thus, the Murphys

1 may not proceed in federal court until they have obtained a
2 final, definitive position from local authorities as to how their
3 property may be used. Because such a decision has not yet been
4 rendered, we lack jurisdiction.

5 Had the Murphys appealed the cease and desist order to
6 the Zoning Board of Appeals and requested variance relief from
7 that body, see Conn. Gen. Stat. §§ 8-6, 8-6a, things may very
8 well have been different. The Zoning Board of Appeals possessed
9 the authority to review the cease and desist order de novo to
10 determine whether the zoning regulations were properly applied.
11 See Munroe v. Zoning Bd. of Appeals, 75 Conn.App. 796, 801, 818
12 A.2d 72, 76 (App. Ct. 2003). In fact, a zoning board of appeals
13 “is in the most advantageous position to interpret its own
14 regulations and apply them to the situations before it.” Id.
15 (quoting Doyen v. Zoning Bd. of Appeals, 67 Conn.App. 597, 603,
16 789 A.2d 478, 483 (App. Ct. 2002)). In the event that the
17 Murphys were dissatisfied with the Zoning Board of Appeals’
18 interpretation and application of the zoning regulations they
19 still could have sought a variance from those regulations. See
20 Conn. Gen. Stat. § 8-6a.

21 A variance is more than a mere remedial measure. See
22 Williamson County, 473 U.S. at 193. And, in Connecticut, a
23 zoning board of appeals is generally the body that would consider
24 whether to grant a variance. See Port Clinton Assocs. v. Bd. of

1 Selectmen, 217 Conn. 588, 606-07, 587 A.2d 126, 136 (1991). For
2 this reason, the Connecticut Supreme Court recognized in Port
3 Clinton that a zoning board of appeals will typically be the
4 venue from which a final, definitive decision will emanate. It
5 thus stated: “[I]n many instances a final decision by the
6 ‘initial decisionmaker,’ really means a decision by the zoning
7 board of appeals, when that body . . . is exercising its power to
8 grant variances and exceptions.” 217 Conn. at 607, 587 A.2d at
9 136. But, the Murphys failed to seek such relief, a failure that
10 proves fatal to their present federal litigation.

11 As the Williamson County Court held, failure to pursue
12 a variance prevents a federal challenge to a local land use
13 decision from becoming ripe. See Williamson County, 473 U.S. at
14 190. This is so because through the variance process local
15 zoning authorities function as “flexible institutions; what they
16 take with the one hand they may give back with the other.”
17 MacDonald, 477 U.S. at 350. Not pursuing a variance thus leaves
18 undetermined the permitted use of the property in question. See
19 Williamson County, 473 U.S. at 193, 200.

20 Here, as New Milford argues, the Murphys may have been
21 able to obtain a variance from the Zoning Board of Appeals by
22 showing that a literal enforcement of the zoning requirements
23 would work an unusual hardship. See Conn. Gen. Stat. § 8-
24 6(a)(3); Reid v. Zoning Bd. of Appeals, 235 Conn. 850, 857, 670

1 A.2d 1271, 1275 (1996); Belknap v. Zoning Bd. of Appeals, 155
2 Conn. 380, 383, 232 A.2d 922, 924 (1967). Nevertheless, they
3 failed to submit a single variance application in this matter.⁷
4 This failure, just as in Williamson County, deprives us of any
5 certainty as to what use of the Murphys' property would be
6 permitted. Hence, the Murphys' claims are not ripe. See Suitum,
7 520 U.S. at 739 (noting that the Williamson County precedents
8 "addressed the virtual impossibility of determining what [use]
9 will be permitted on a particular lot of land when its use is
10 subject to the decision of a regulatory body invested with great
11 discretion, which it has not yet even been asked to exercise").

12 As our earlier inquiry demonstrates, this case does not
13 represent a mechanical application of Williamson County. Rather,
14 this case epitomizes the rationales that drive that test. We
15 have been asked to address several weighty constitutional and
16 statutory issues on what is an inadequate factual record.
17 Enforcing the requirement that the Murphys first obtain a final,
18 definitive decision from local zoning authorities ensures that
19 federal review -- should the occasion eventually arise -- is
20 premised on concrete and established facts. We also have been
21 asked to adjudicate several constitutional disputes, such as
22 whether New Milford's zoning decision violates the Murphys' First

⁷ This fact forecloses any contention that requiring Williamson County finality would be futile. See Kinzli v. City of Santa Cruz, 818 F.2d 1449, 1455 (9th Cir. 1987).

1 Amendment rights to assemble peaceably and to exercise their
2 religion freely. In addition, were we to reach the merits of the
3 statutory claims we might be required to consider whether RLUIPA
4 and the CACRF run afoul of the Establishment Clause and whether
5 RLUIPA violates the separation of powers doctrine, section five
6 of the Fourteenth Amendment and the Tenth Amendment. Enforcing
7 the requirement that the Murphys pursue a variance prior to our
8 review ensures that all non-constitutional avenues of resolution
9 have been explored first, perhaps obviating the need for judicial
10 entanglement in these constitutional disputes.

11 In fact, Connecticut's land use laws recognize the very
12 importance of the variance and appeals process for both aggrieved
13 property owners and reviewing courts. In language particularly
14 pertinent to our decision today, the Connecticut Supreme Court
15 held long ago:

16 The essential purpose of a zoning board of appeals, so
17 far as its power to grant variances under § 8-6(3) of the
18 General Statutes is concerned, is to furnish some
19 elasticity in the application of regulatory measures
20 The power of the board to review, on appeal,
21 under § 8-6(1) of the General Statutes, any order of the
22 zoning enforcement officer and, under § 8-7, to reverse,
23 affirm or modify that order, also supplies some measure
24 of elasticity. This power is vested in a zoning board of
25 appeals, both to provide aggrieved persons with full and
26 adequate administrative relief and to give the reviewing
27 court the benefit of the local board's judgment.

28 Country Lands, Inc. v. Swinnerton, 151 Conn. 27, 33-34, 193 A.2d
29 483, 486 (1963) (citations omitted). Until this variance and
30 appeals process is exhausted and a final, definitive decision

1 from local zoning authorities is rendered, this dispute remains a
2 matter of unique local import over which we lack jurisdiction.⁸

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4 For the reasons just given, the judgment of the
5 district court is vacated. In accordance with the foregoing we
6 also remand with the direction that the case be dismissed without
7 prejudice to bringing a new action that is ripe for adjudication.

⁸ We are particularly cognizant of the fact that this case stems from a zoning dispute implicating matters of local concern. See Harlen Assocs. v. Incorporated Vill. of Mineola, 273 F.3d 494, 505 (2d Cir. 2001); see also Congregation Kol Ami v. Abington Township, 309 F.3d 120, 135 (3d Cir. 2002). Thus, should the Murphys pursue a zoning board appeal and be dissatisfied with its disposition, an appeal to the Connecticut Superior Court, as contemplated by Connecticut General Statutes section 8-9, might be pursued. In addition, before the state courts the Murphys may wish to raise their CACRF claim, while expressly reserving their federal claims for later presentation in federal court should the need arise. See generally Santini v. Connecticut Hazardous Waste Mgmt. Serv., 342 F.3d 118 (2d Cir. 2003). But see generally San Remo Hotel v. San Francisco City & County, 364 F.3d 1088 (9th Cir. 2004), cert. granted, -- U.S. --, 125 S.Ct. 685 (2004).